



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/593,423

09/19/2006

Bob Briscoe

36-2010

1267

23117 7590 02/18/2010  
NIXON & VANDERHYE, PC  
901 NORTH GLEBE ROAD, 11TH FLOOR  
ARLINGTON, VA 22203

EXAMINER

GHOWRWAL, OMAR J

ART UNIT

PAPER NUMBER

2463

MAIL DATE

DELIVERY MODE

02/18/2010

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/593,423	<b>Applicant(s)</b> BRISCOE ET AL.	
	<b>Examiner</b> OMAR GHOWRWAL	<b>Art Unit</b> 2463	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 13 November 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 13 November 2009 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Response to Remarks***

1. This Office action is considered fully responsive to the amendment filed 11/13/09.
2. The Examiner thanks Applicant for bringing the co-pending application to the Examiner's attention. However, as a reminder, Applicant has the duty to disclose for the filing of >a< application (37 CFR 1.56) which is not limited to just the dealing with the Examiner (MPEP 2001.03). Also, in view on MPEP 2001.06(b) Applicant has the burden of presenting the Examiner with a complete and accurate record to support the allowance of letters patent.
3. The objection to the drawings has been withdrawn because they have been amended accordingly.
4. The rejections under U.S.C. 112 have been upheld because although Applicant stated the claims have been amended to delete "such as" (page 26, Remarks), when looking at the set of claims, these terms have not been deleted from the claims.

### ***Response to Arguments***

5. Applicant's arguments filed 11/13/09 have been fully considered but they are not persuasive.

Applicant argues that Cain does not teach anything corresponding to a "target condition for the path characterization metric" (page 28, Remarks), with respect to claim

1. The Examiner respectfully disagrees. As was previously cited, para. 0033 of Cain teaches "a destination node generates reply RREPQ to source node 1 including flow identifier and updated QoS link metric for each discovered route". As is discussed in

Art Unit: 2463

paras. 0031-0032, the source node transmits a QoS request with a particular "QoS metric". Hence, this initial "QoS metric" is in fact the "target condition", since it is what the source node desires for a particular route. After the RREQQ packet containing this metric traverses the network, and at each node this metric is "updated", hence it changes, and it eventually arrives at the destination node. The destination node then replies back to the source node with a packet containing the "updated QoS metric", which is not the same as the initial "QoS metric", hence there is a discrepancy between the two. This is further shown to be the case as para. 0033 also describes the source node generating new QoS metrics based on the discrepancy caused by the updated QoS metrics and the initial QoS metrics that this node generated before.

Additionally, also note paras. 0034-0035 of Cain, which can also be used to teach this limitation, as an error notification RERRQ from the destination node to the source node indicating that it cannot support the initial QoS metric (target condition), thus indicating a discrepancy, and after this the source node can repeat the RREQQ procedure.

The same arguments hold against the other independent claims that Applicant has argued against the Cain reference regarding the same limitation as claim 1.

### ***Claim Rejections - 35 USC § 112***

6. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

7. **Claims 4-5, 13** are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which

Art Unit: 2463

applicant regards as the invention. Regarding **claims 4-5, 13**, the phrase "such as" renders the claim indefinite because it is unclear whether the limitations following the phrase are part of the claimed invention. See MPEP § 2173.05(d).

***Claim Rejections - 35 USC § 102***

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

9. **Claims 1-3, 6-12, 14-16, 20-23, 28-31** are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Publication No. 2003/0202469 A1 to *Cain*.

As to **claim 1**, *Cain* discloses a data network comprising a provider node, a receiver node, and a plurality of intermediate nodes (fig. 1, para. 0031, source 1, destination 4, intermediates 2, 3, 5), the provider node being arranged to provide data to at least one of said intermediate nodes or to the receiver node, said intermediate nodes being arranged to receive data and forward data to at least one other intermediate node or to the receiver node, and the receiver node being arranged to receive data from at least one intermediate node or from the provider node (para. 0031-0033, data received at intermediate nodes, sent to destination node); wherein:

said data comprises at least a part which relates to a path characterization metric (para. 0031, sending RREQQ from node 1 which includes QoS link metric);

said provider node is arranged to assign an initial condition to the path characterization metric in respect of data provided by it (para. 0031, QoS parameter is requested);

said intermediate nodes are arranged to update the condition of the path characterization metric in respect of data they forward (para. 0032, intermediate node can update QoS link metric);

said receiver node is arranged to make available for the provider node information indicative of a discrepancy between the condition of the path characterization metric in respect of data received by it and a predetermined target condition for the path characterization metric (para. 0033, destination node generates reply RREPQ to source node 1 including flow identifier and updated QoS link metric for each discovered route);

and wherein said provider node is arranged to assign a different initial condition to the path characterization metric in respect of subsequent data provided by it in the event that it receives information indicative of such a discrepancy from said receiver node (para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).

As to claim 2, *Cain* further discloses a data network according to claim 1, wherein the condition of the path characterization metric at a node is indicative of a measure of congestion expected to be experienced by data on a path downstream of that node (para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability).

As to claim 3, *Cain* further discloses a data network according to claim 1, wherein the condition assigned to the path characterization metric is a value, and the predetermined target condition is a value (para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability, i.e. these are values, hence both the initial metric (predetermined target condition) and the updated metric (path characterization metric) are values).

As to claim 6, *Cain* further discloses a data network according to claim 1, wherein an intermediate node is arranged to update the condition of the path characterization metric in response to a path characteristic associated with that node (para. 0032, if an intermediate node can support the QoS parameter of a particular request RREQQ, the node updates the QoS link metric).

As to claim 7, *Cain* further discloses a data network according to claim 6, wherein said path characteristic relates to a measure of congestion on a path associated with that node (para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability).

As to claim 8, *Cain* further discloses a data network according to claim 6 wherein said path characteristic relates to a measure of congestion on a path downstream of that node (para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability).

As to **claim 9**, *Cain* discloses a method for assigning path characterization metrics to data in a data network comprising a provider node, a receiver node, and a plurality of intermediate nodes (fig. 1, para. 0031, source 1, destination 4, intermediates

Art Unit: 2463

2, 3, 5), the provider node being arranged to provide data to at least one of said intermediate nodes or to the receiver node, said data comprising at least a part which relates to a path characterization metric, said intermediate nodes being arranged to receive data and forward data to at least one other intermediate node or to the receiver node, and the receiver node being arranged to receive data from at least one intermediate node or from the provider node (para. 0031-0033, data received at intermediate nodes, sent to destination node); the method comprising steps of:

- assigning an initial condition to the path characterization metric in respect of data provided by the provider node (para. 0031, QoS parameter is requested);

- updating the condition of the path characterization metric in respect of data forwarded by said intermediate nodes (para. 0032, intermediate node can update QoS link metric);

- monitoring a final condition of the path characterization metric in respect of data received by the receiver node, and determining a measure indicative of a discrepancy between said final condition and a predetermined target condition for the path characterization metric (para. 0033, destination node generates reply RREPQ to source node 1 including flow identifier and updated QoS link metric for each discovered route);

- and assigning a different initial condition to the path characterization metric in respect of subsequent data provided by the provider node in the event that said measure indicates such a discrepancy in respect of previous data (para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).



As to claim 10, *Cain* further discloses a method according to claim 9, wherein the condition assigned to the path characterization metric is a value, and the predetermined target condition is a value (para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability, i.e. these are values, hence both the initial metric (predetermined target condition) and the updated metric (path characterization metric) are values).

As to **claim 11**, *Cain* discloses a feedback node for enabling an initial condition to be assigned to a path characterization metric in respect of data to be forwarded through a data network (para. 0031-0033, receiver node sends reply back to request node (feedback node) that includes flow identifier and updated QoS metric, feedback node then generates QoS route metrics based on reply), said data network comprising a provider node, a receiver node and a plurality of intermediate nodes, said data comprising at least a part which relates to a path characterization metric (fig. 1, para. 0031, source 1, destination 4, intermediates 2, 3, 5, QoS parameter); said provider node being arranged to assign an initial condition to the path characterization metric in respect of data, and to provide said data to at least one of said intermediate nodes or to the receiver node (paras. 0031-0033, requested QoS parameter by sending node, sent to intermediates then to receiver); said intermediate nodes being arranged to receive data from said provider node or from one or more other intermediate nodes, to update a condition of the path characterization metric in respect of data received by them, and to forward data to at least one other intermediate node or to the receiver node (para. 0032, updating QoS link metric); and said receiver node being arranged to receive data from

Art Unit: 2463

at least one intermediate node or from the provider node, and to make available for the feedback node information relating to the path characterization metric in respect of data received by it (para. 0031-0033, receiver node sends reply back to request node (feedback node) that includes flow identifier and updated QoS metric), said feedback node comprising:

at least one message processor arranged to enable a different initial condition to be assigned to the path characterization metric in respect of subsequent data provided by the provider node in the event that said feedback node receives information indicative of a discrepancy between a predetermined target condition for the path characterization metric and the condition of the path characterization metric in respect of previous data received by said receiver node (para. 0033, destination node generates reply RREPQ to source node 1 including flow identifier and updated QoS link metric for each discovered route, para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).

As to claim 12, *Cain* further discloses a feedback node according to claim 11, wherein the condition assigned to the path characterization metric is a value, and the predetermined target condition is a value (para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability, i.e. these are values, hence both the initial metric (predetermined target condition) and the updated metric (path characterization metric) are values).

As to claim 14, *Cain* further discloses a feedback node according to claim 11, said feedback node also serving as said provider node in said network (para. 0031-

Art Unit: 2463

0033, receiver node sends reply back to request node (feedback node) that includes flow identifier and updated QoS metric).

As to claim 15, *Cain* further discloses a feedback node according to claim 14, said feedback node being arranged to assign a different initial condition to the path characterization metric in respect of subsequent data in the event that it receives, from said receiver node, a measure of a discrepancy between said predetermined target condition for the path characterization metric and the condition of the path characterization metric in respect of previous data received by said receiver node (para. 0033, destination node generates reply RREPQ to source node 1 including flow identifier and updated QoS link metric for each discovered route, para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).

As to claim 16, *Cain* further discloses a feedback node according to claim 14, said feedback node being arranged to assign a different initial condition to the path characterization metric in respect of subsequent data in the event that it receives, from said receiver node, information indicative of the condition of the path characterization metric in respect of previous data received by said receiver node, and determines that there is a discrepancy between said condition of the path characterization metric and said predetermined target condition for the path characterization metric (para. 0033, destination node generates reply RREPQ to source node 1 including flow identifier and updated QoS link metric for each discovered route, para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).

As to **claim 20**, *Cain* discloses a method of providing data in a data network comprising a provider node, a receiver node and a plurality of intermediate nodes, the provider node being arranged to provide data to at least one of said intermediate nodes or to the receiver node, said data comprising at least a part which relates to a path characterization metric (fig. 1, para. 0031, source 1, destination 4, intermediates 2, 3, 5, QoS parameter); said intermediate nodes being arranged to receive data from said provider node or from one or more other intermediate nodes, to update a condition of the path characterization metric in respect of data received by them, and to forward data to at least one other intermediate node or to the receiver node (para. 0032, updating QoS link metric, paras. 0031-0033, data sent from source to intermediates to destination); and said receiver node being arranged to receive data from at least one intermediate node or from the provider node, and to make available for the provider node information indicative of a discrepancy between an eventual condition of the path characterization metric in respect of data received by it and a predetermined target condition for the path characterization metric (para. 0033, destination node generates reply RREPQ to source node 1 including flow identifier and updated QoS link metric for each discovered route); the method comprising the steps of:

assigning an initial condition to the path characterization metric in respect of data (para. 0031, QoS parameter is requested);

providing said data to at least one of said intermediate nodes (para. 0032, intermediate node can update QoS link metric);

receiving information relating to said eventual condition of the path characterization metric in respect of previously-provided data received by said receiver node (para. 0031-0033, reply RREPQ contains updated QoS link metric);

and assigning a different initial condition to the path characterization metric in respect of subsequent data in the event of receipt of information indicative of a discrepancy between said eventual condition of the path characterization metric and a predetermined target condition for the path characterization metric (para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).

As to claim 21, *Cain* further discloses a method according to claim 20, wherein the condition assigned to the path characterization metric is a value, and the predetermined target condition is a value (para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability, i.e. these are values, hence both the initial metric (predetermined target condition) and the updated metric (path characterization metric) are values).

As to claim 22, *Cain* further discloses a method according to claim 20, said receiver node being arranged to make available for the provider node a measure of a discrepancy between said predetermined target condition for the path characterization metric and said eventual condition of the path characterization metric in respect of previous data received, whereby to enable said provider node to assign a different initial condition to the path characterization metric in respect of subsequent data (para. 0033,

Art Unit: 2463

upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).

As to claim 23, *Cain* further discloses a method according to claim 20, said receiver node being arranged to make available for the provider node information indicative of the condition of said eventual path characterization metric in respect of previously received data, whereby to enable said provider node to assign a different initial condition to the path characterization metric in respect of subsequent data in the event that said provider node determines that there is a discrepancy between said condition of the path characterization metric and said predetermined target condition for the path characterization metric (para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).

As to **claim 28**, *Cain* discloses a path characterization system for providing path characterization information in association with a data network, said data network comprising a plurality of nodes including a provider node, a receiver node, and at least one intermediate node, the provider node being arranged to provide data to at least one intermediate node or to the receiver node, an intermediate node being arranged to receive data and to forward data to at least one other intermediate node or to the receiver node, and the receiver node being arranged to receive data from the provider node or from at least one intermediate node (fig. 1, para. 0031, source 1, destination 4, intermediates 2, 3, 5, QoS parameter); the path characterization system comprising:

a path characterization metric condition assigning means, associated with the provider node, arranged to assign an initial condition to a path characterization metric in

Art Unit: 2463

the event that said provider node provides data (para. 0031, QoS parameter is requested);

a path characterization metric updating means, associated with an intermediate node, arranged to update the condition of the path characterization metric in the event that said node receives data (para. 0032, intermediate node can update QoS link metric);

and a path characterization metric feedback means, associated with the receiver node, arranged to determine an eventual condition of the path characterization metric in the event that said receiver node receives said data, and to make available for the path characterization metric condition assigning means information indicative of a discrepancy between the eventual condition of the path characterization metric and a predetermined target condition for the path characterization metric (para. 0031-0033, reply RREPQ contains updated QoS link metric);

wherein said path characterization metric condition assigning means is arranged to assign a different initial condition to a path characterization metric associated with subsequent data in the event that feedback is made available indicative of such a discrepancy between the eventual condition of the path characterization metric and the predetermined target condition in relation to a previous path characterization metric (para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).

As to claim 29, *Cain* further discloses a path characterization system according to claim 28, wherein the condition assigned to the path characterization metric is a

Art Unit: 2463

value, and the predetermined target condition is a value (para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability, i.e. these are values, hence both the initial metric (predetermined target condition) and the updated metric (path characterization metric) are values).

As to **claim 30**, *Cain* discloses a path characterization system for providing path characterization information in association with a data network, said data network comprising a plurality of nodes including a provider node, a receiver node, and at least one intermediate node, the provider node being arranged to provide data to at least one intermediate node or to the receiver node, an intermediate node being arranged to receive data and to forward data to at least one other intermediate node or to the receiver node, and the receiver node being arranged to receive data from the provider node or from at least one intermediate node (fig. 1, para. 0031, source 1, destination 4, intermediates 2, 3, 5, QoS parameter); the path characterization system comprising:

a path characterization metric condition assigning means, associated with the provider node, arranged to assign a path characterization metric with an initial condition in the event that said provider node provides data, said path characterization metric being associated with said data (para. 0031, QoS parameter is requested);

a path characterization metric updating means, associated with a node capable of receiving data, arranged to update the condition of the path characterization metric in the event that said node receives data (para. 0032, intermediate node can update QoS link metric);



and a path characterization metric feedback means, associated with the receiver node, arranged to determine an eventual condition of the path characterization metric in the event that said receiver node receives said data, and to make available for the path characterization metric condition assigning means information relating to the eventual condition of the path characterization metric (para. 0031-0033, reply RREPQ contains updated QoS link metric);

wherein said path characterization metric condition assigning means is arranged to provide information relating to the eventual condition of the path characterization metric associated with previous data in the event that feedback is made available indicative of a discrepancy between the eventual condition of the path characterization metric and a predetermined target condition in relation to a previous path characterization metric (para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).

As to claim 31, *Cain* further discloses a path characterization system according to claim 30, wherein the condition assigned to the path characterization metric is a value, and the predetermined target condition is a value (para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability, i.e. these are values, hence both the initial metric (predetermined target condition) and the updated metric (path characterization metric) are values).

### ***Claim Rejections - 35 USC § 103***

10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 2463

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

11. **Claims 4-5, 13** are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Publication No. 2003/0202469 A1 to *Cain* in view of U.S. Patent No. 7,433,311 B1 to *Kalyanasundaram et al.* ("*Kal*").

As to claim 4, *Cain* further discloses a data network according to claim 1, wherein in the event that said provider node assigns a different initial condition to the path characterization metric in respect of subsequent data provided by it, said different initial condition is assigned such as to comply with receiver replies RREPQ in respect of said subsequent data received by said receiver node (para. 0033, fig. 4, updating QoS and sending out CONFQ messages to the receiver).

*Cain* does not expressly discloses a data network according to claim 1, wherein in the event that said provider node assigns a different initial condition to the path characterization metric in respect of subsequent data provided by it, said different initial condition is assigned such as to decrease a corresponding discrepancy in respect of said subsequent data received by said receiver node.

*Kal* discloses calculating a new value for a current resource setting that more closely approximates a value of an actual resource setting of the resource of the communications channel (fig. 2, item 202-2). Furthermore, this is performed by a client (provider) device (col. 14, lines 15-19) and the new value is sent to a network resource allocator (receiver) (fig. 2, item 202-3), i.e. discrepancy between the two values is decreased and this is sent to the receiver from the provider. Moreover, the reason for

Art Unit: 2463

calculating a new value is based upon a detection of a negotiation event (col. 16, lines 14-16).

*Cain* and *Kal* are analogous art because they are from the same field of endeavor regarding data processing.

At the time of invention, it would have been obvious to a person of ordinary skill in the art to incorporate the approximating a new value of a resource setting as taught by *Kal* into the invention of *Cain*. The suggestion/motivation would have been to adjust allocation of a resource in a data communications channel (*Kal*, col. 9, lines 10-13).

As to claim 5, *Cain and Kal* further disclose a data network according to claim 4, wherein said different initial condition is assigned such as to maximize the possibility that said corresponding discrepancy in respect of said subsequent data received by said receiver node will be zero (*Kal*, fig. 2, item 202-2, 202-3, more closely approximating a value, which pertains to having zero discrepancy). In addition, the same suggestion/motivation of claim 4 applies.

As to claim 13, see similar rejection for claim 4. The method teaches the node.

12. **Claims 17-19** rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Publication No. 2003/0202469 A1 to *Cain* in view of U.S. Patent No. 6,633,538 B1 to *Tanaka et al.* ("*Tanaka*").

As to claim 17, *Cain* does not expressly disclose a feedback node according to claim 11, said feedback node also serving as said receiver node in said network.

Tanaka discloses duplicating the resource of the master node to each slave node and the master node representing the functions of each slave node while duplicating (abstract), i.e. a node (feedback) also can function as another node (receiver).

*Cain* and *Tanaka* are analogous art because they are from the same field of endeavor regarding data processing.

At the time of invention, it would have been obvious to a person of ordinary skill in the art to incorporate the duplication process as taught by Tanaka into the invention of Cain. The suggestion/motivation would have been to represent the functions of a node stopped (Tanaka, col. 1, lines 7-11).

As to claim 18, *Cain* and *Tanaka* further disclose a feedback node according to claim 17, said feedback node being arranged to make available for the provider node a measure of a discrepancy between said predetermined target condition for the path characterization metric and the condition of the path characterization metric in respect of previous data received by said receiver node, whereby to enable said provider node to assign a different initial condition to the path characterization metric in respect of subsequent data (Cain, para. 0033, destination node generates reply RREPQ to source node 1 including flow identifier and updated QoS link metric for each discovered route, para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics). In addition, the same suggestion/motivation of claim 17 applies.

As to claim 19, *Cain* and *Tanaka* further disclose a feedback node according to claim 17, said feedback node being arranged to make available for the provider node

Art Unit: 2463

information indicative of the condition of the path characterization metric in respect of previous data received by said receiver node, whereby to enable said provider node to assign a different initial condition to the path characterization metric in respect of subsequent data in the event that said provider node determines that there is a discrepancy between said condition of the path characterization metric and said predetermined target condition for the path characterization metric (Cain, para. 0033, destination node generates reply RREPQ to source node 1 including flow identifier and updated QoS link metric for each discovered route, para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics). In addition, the same suggestion/motivation of claim 17 applies.

13. **Claims 24-27** rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Publication No. 2003/0202469 A1 to *Cain* in view of U.S. Publication No. 2003/0189901 A1 to *Ozugur et al.* ("Ozugur").

As to **claim 24**, *Cain* discloses a method for providing path characterization information for nodes in a network, said network comprising a plurality of nodes including a provider node, a receiver node, and at least one intermediate node (fig. 1, para. 0031, source 1, destination 4, intermediates 2, 3, 5, QoS parameter), the provider node being arranged to provide data to at least one intermediate node or to the receiver node, an intermediate node being arranged to receive data and to forward data to at least one other intermediate node or to the receiver node, and the receiver node being arranged to receive data from the provider node or from at least one intermediate node

Art Unit: 2463

(para. 0031-0033, data sent from sender to intermediate to receiver); the method comprising steps of:

assigning an initial condition to a path characterization metric in the event that said provider node provides data, said path characterization metric being associated with said data (para. 0031, QoS parameter is requested);

updating the condition of the path characterization metric in the event that an intermediate node receives said data (para. 0032, intermediate node can update QoS link metric);

determining an eventual condition of the path characterization metric in the event that said receiver node receives said data (para. 0031-0033, reply RREPQ contains updated QoS link metric);

and establishing if a discrepancy exists between the eventual condition of the path characterization metric and a predetermined target condition (para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics);

wherein, in the event that it is established that a discrepancy does exist between said eventual condition and said predetermined target condition, said method further comprises steps of:

assigning a different initial condition to a further path characterization metric in the event that said provider node subsequently provides further data, said further path characterization metric being associated with said further data (para. 0033, upon

Art Unit: 2463

receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics);

*Cain* does not expressly disclose updating the condition of said further path characterization metric in the event that an intermediate node receives said further data; and making information indicative of said updated condition available to said intermediate node.

*Ozugar* discloses after sending out Resv messages, the Upstream Ground Zero node propagates the new total number of LSPs in Rev messages to the LSPs in the current congestion set (fig. 5, para. 0042-0045).

*Cain* and *Ozugar* are analogous art because they are from the same field of endeavor regarding data processing.

At the time of invention, it would have been obvious to a person of ordinary skill in the art to incorporate the Resv messages as taught by *Ozugar* into the invention of *Cain*. The suggestion/motivation would have been to inform LSPs of the updated information (*Ozugar*, fig. 5, para. 0042-0045).

As to claim 25, *Cain and Ozugar* further disclose a method according to claim 24, wherein the condition assigned to the path characterization metric is a value, and the predetermined target condition is a value (*Cain*, para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability, i.e. these are values, hence both the initial metric (predetermined target condition) and the updated metric (path characterization metric) are values). In addition, the same suggestion/motivation of claim 24 applies.

Art Unit: 2463

As to **claim 26**, *Cain* discloses a method for providing path characterization information for nodes in a network, said network comprising a plurality of nodes including a provider node, a receiver node, and at least one intermediate node (fig. 1, para. 0031, source 1, destination 4, intermediates 2, 3, 5, QoS parameter), the provider node being arranged to provide data to at least one intermediate node or to the receiver node, an intermediate node being arranged to receive data and to forward data to at least one other intermediate node or to the receiver node, and the receiver node being arranged to receive data from the provider node or from at least one intermediate node (para. 0031-0033, data sent from sender to intermediate to receiver); the method comprising steps of:

assigning an initial condition to a path characterization metric in the event that said provider node provides data, said path characterization metric being associated with said data (para. 0031, QoS parameter is requested);

updating the condition of the path characterization metric in the event that an intermediate node receives said data (para. 0032, intermediate node can update QoS link metric);

determining an eventual condition of the path characterization metric in the event that said receiver node receives said data (para. 0031-0033, reply RREPQ contains updated QoS link metric);

and establishing if a discrepancy exists between the eventual condition of the path characterization metric and a predetermined target condition (para. 0033, upon



Art Unit: 2463

receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics);

wherein, in the event that it is established that a discrepancy does exist between said eventual condition and said predetermined target condition, said method further comprises steps of:

assigning a different initial condition to a further path characterization metric in the event that said provider node subsequently provides further data, said further path characterization metric being associated with said further data (para. 0033, upon receiving RREPQ, the source node 1 generates QoS route metrics based upon updated QoS link metrics).

and making information relating to the discrepancy between the eventual condition of a previous path characterization metric and said predetermined target condition available to said provider node (para. 0033, destination node generates reply RREPQ to source node 1 including flow identifier and updated QoS link metric for each discovered route).

*Cain does not expressly disclose updating the condition of said further path characterization metric in the event that an intermediate node receives said further data;*

*and making information indicative of said updated condition available to said intermediate node;*

and making information relating to the discrepancy between the eventual condition of a previous path characterization metric and said predetermined target condition available to said *intermediate node*.

*Ozugar* discloses after sending out Resv messages, the Upstream Ground Zero node propagates the new total number of LSPs in Rev messages to the LSPs in the current congestion set (fig. 5, para. 0042-0045), i.e. the previous Resv messages had a different number of hops, and this discrepancy is fixed and reported to LSPs in the next set of Resv messages.

*Cain* and *Ozugar* are analogous art because they are from the same field of endeavor regarding data processing.

At the time of invention, it would have been obvious to a person of ordinary skill in the art to incorporate the Resv messages as taught by *Ozugar* into the invention of *Cain*. The suggestion/motivation would have been to inform LSPs of the updated information (*Ozugar*, fig. 5, para. 0042-0045).

As to claim 27, *Cain and Ozugar* further disclose a method according to claim 26, wherein the condition assigned to the path characterization metric is a value, and the predetermined target condition is a value (*Cain*, para. 0031, QoS parameter is based upon end-to-end delay, end-to-end delay variation, expected path durability, i.e. these are values, hence both the initial metric (predetermined target condition) and the updated metric (path characterization metric) are values). In addition, the same suggestion/motivation of claim 26 applies.

### ***Conclusion***

14. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OMAR GHOWRWAL whose telephone number is (571)270-5691. The examiner can normally be reached on Monday-Thursday, 8:00am-5:00pm est..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Derrick Ferris can be reached on (571)272-3123. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2463

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/O. G./  
Examiner, Art Unit 2463

/Derrick W Ferris/  
Supervisory Patent Examiner, Art Unit 2463